

# **Department Chair Handbook**

2023-2024

#### INTRODUCTION

The Department Chair Handbook contains information related to some of the common tasks required of Department Chairs, including a general timeline for key events in the year, schedules for faculty reviews, hiring procedures, guidelines for departmental reviews, and a guide to reviewing student progress towards graduation. This handbook does not attempt to capture all details of the important leadership role played by Department Chairs; rather, it serves as a resource to assist planning and departmental administration.

Department Chairs work closely with their Division Chairs and the Dean's Office in advancing the College and maintaining its health. The counsel provided by fellow Department Chairs at the monthly gathering of chairs also is important to the well-being and governance of the College.

This handbook does not codify the central rules and policies which govern the operation of the College. Such policies and rules are located in the Faculty Handbook and Wabash College Employment Guide.

The Dean of the College's Office maintains this handbook as an aid to Department Chairs. Questions regarding the procedures found in this Handbook, or suggestions about its contents, may be directed to the Dean of the College's Office.

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# 2023-24 Division Chairs:

Division I Amanda Ingram
Division II Brian Tucker
Division III Preston Bost

# **2023-24 Department Chairs:**

Heidi Walsh Biology Chemistry Laura Wysocki **Physics Dennis Krause** Mathematics/Computer Science Chad Westphal Art **Annie Strader** Michael Abbott Music Rhetoric Jeff Drury Theater Jim Cherry Modern Languages **Dan Rogers** Classics Jeremy Hartnett English Derek Mong Philosophy Matt Carlson PPE Joyce Burnette Derek Nelson Religion **Economics** Peter Mikek Rick Warner History Political Science Scott Himsel Psychology Eric Olofson

Education Studies Debbie Seltzer-Kelly

# **First Year Course Co-Chairs**:

Neil Schmitzer-Torbert Crystal Benedicks

# **DEPARTMENT CHAIR CALENDAR: IMPORTANT DATES, 2023-24**

Month	Date	What's Due
August	1-4	New Faculty Orientation
September	1	Sabbatical Requests are due
	21	Request for Spring Courses
	21	New course proposals for Spring are due
October	5	Spring Course Offerings Due
	11	Required SLO docs for spring are due
	16	Second, fourth, and tenure review student summaries due
	17	Midterm Grades Due
	19	Final Edits of Courses
		Timal Zants of Courses
November	1	Department letter for second, fourth, and tenure reviews due
	17	Updated List of Majors and Minors available
December	1	Promotion requests due to Dean of the College
	mid	Review results announced
	18	Fall Semester grades due
		_
January	early	Review Senior Majors and Minors
	early	Begin Academic Bulletin edits
	4-5, 8-9	Senior Comps (written)
	10-12	Senior Comps (oral)
	31	Promotion to Professor materials due
	late	Biennial Faculty Salary Reviews due to Department Chairs
	late	Summer intern proposals due
February	early	Academic Staff Annual Review Materials Submitted to
		Supervisors
	early	Initiate first-year advisory review discussions with new tenure-
		track faculty
	8	Request for Fall Courses
	8	New course proposals for fall are due
	16	Senior Comp Grades Due
	26	Fall Course Offerings Due
	mid	Meet with Division Chairs about salary recommendations
	mid	Biennial Department Assessment Meetings begin

	late late	Salary Review Recommendations to Div. Chair & Dean Copy Salary Recommendations to Individual Faculty
March	early 1 11 12 14 25 27	Academic Staff Review Materials & Conversation Summaries due Required SLO docs for fall are due Fourth-year review student interview summaries due Midterm Grades Due Final Edits of Courses Macintosh Award Recommendations due Department letter for fourth- year reviews due Names of Recipients for Awards Chapel due
April	early 1 22 25	Staff Salary Review Recommendations due Senior Comp Retake Grades Due Updated List of Majors and Minors available Awards Chapel
May	early 1 6 13 15 mid	Academic Calendar Listings Due Academic Bulletin edits due Senior grades due Underclassmen grades due First-Year advisory review summary due to Dean of the College Review and Promotion Announcements
Monthly		Budget Review Approve Student Worker Time Cards (as applicable) Reconcile Purchasing Card Invoices Department Chair Meetings
July 30- Aug	g 2	New Faculty Orientation (new faculty attendance required)

#### **Spring semester**

4<sup>th</sup> year reviews

Interview students and write interview summary

Review candidate materials and lead development of department letter of evaluation and recommendation

Salary reviews

Review faculty salary review materials and develop salary recommendations

Meet with Division Chair to discuss salary recommendations for departmental faculty

 Hiring (TT and visiting; depending on department needs and responsibilities, visiting searches might be led by department chair or delegated to other department faculty)

Monitor elements related to application submission

Lead department in review of candidates—collectively establishing candidate review criteria, discussing implicit bias, cultivating a culture mindful of diversity, equity, and inclusion

Develop short-list recommendations and, upon approval from Dean's Office, arrange preliminary interviews Work with AAC and Dean's Office on arranging candidate interviews

Meet with all candidates and attend their lunch seminars and teaching demonstrations

Prepare hiring recommendation for Personnel Committee (Senior Associate Dean for visiting hires)

For full details on hiring see "Faculty Hiring Procedure" in the Department Chair Handbook

- Organize or delegate grading of senior comprehensive exam and submission of exam results
- Select and present departmental awards at Awards Chapel
- Write tributes and retirement citations for recognition luncheon and/or faculty meeting
- Lead the Biennial Department Assessment Meeting
- Edit Academic Bulletin entries

Please consult "Department Chair Calendar: Important Dates" in the Department Chair handbook for additional specifics on the timeline of responsibilities.

## As needed based on department needs and lifecycle

- · Communicate with department faculty, including mediating departmental and individual areas of conflict
- Mentor faculty in department
- Annual staff reviews of Academic Administrative Coordinator and other support staff (varies by department)
- Occasional meetings with Admissions and/or Advancement to plan events/select representatives to attend or staff events. These requests are initiated by Enrollment Office, Admissions Committee, or Advancement staff
- Approve student worker time card(s), if applicable
- Organize department review (every five to seven years)
- Consult with Dean of the College on discussions and decisions related to course releases by members of the department, including understanding of service expectations or impacts associated with such releases
- Conduct review of promotion candidate(s) and develop letter of evaluation and recommendation (typically occurs in the spring)
- Contingent Faculty Reviews

Interview students and write interview summary

Review materials and lead development of department letter of evaluation

- Organize summer research projects, including coordinating budget
- Approve transfer credit requests for international off-campus study and summer courses
- Provide updates for division chair trustee reports
- Delegate and oversee department placement exams and activities



# FINAL EXAMINATION SCHEDULE, 2023-24

Final examinations are given at the end of each Fall and Spring semester. No deferred examinations are given except by written excuse from the Dean of Students. The faculty has agreed that no exams will be given and no papers will be due beginning Wednesday and through Sunday of the week prior to finals. No papers will be due during finals week unless there is not a final exam in the course, in which case the paper will be due at the end of the regularly scheduled exam time for that course. Classes not included in the schedule below will be examined during the final exam week under special arrangements made by the instructor. Exams are held in the same classrooms they met in during the semester unless otherwise assigned by the Registrar.

# **FALL SEMESTER 2023, BY EXAM DATE**

Exam Date	Exam Time	Class Meeting Time	
Monday, December 11	9:00 AM - 12:00 PM	Classes meeting at 11:00 AM MWF	
	1:30 - 4:30 PM	Classes meeting at 1:10 PM MWF	
Tuesday, December 12	9:00 AM - 12:00 PM	Classes meeting at 9:45 AM TuTh	
	1:30 - 4:30 PM	Classes meeting at 3:10 PM MWF	
Wednesday, December 13	9:00 AM - 12:00 PM	Classes meeting at 8:00 AM MWF	
	1:30 - 4:30 PM	Classes meeting at 1:10 PM TuTh	
Thursday, December 14	9:00 AM - 12:00 PM	Classes meeting at 9:00 AM MWF	
	1:30 - 4:30 PM	Classes meeting at 8:00 AM TuTh & 2:40 PM TuTh	
Friday, December 15	9:00 AM - 12:00 PM	Classes meeting at 10:00 AM MWF	
	1:30 - 4:30 PM	Classes meeting at 2:10 PM MWF	
Saturday, December 16	Make-up exams; multi-section and other courses as assigned by the Registrar		

# FALL SEMESTER 2023, BY CLASS MEETING TIME

Class Meeting Time	Exam Date	Exam Time
Classes meeting at 8:00 AM MWF	Wednesday, December 13	9:00 AM - 12:00 PM
Classes meeting at 9:00 AM MWF	Thursday, December 14	9:00 AM - 12:00 PM
Classes meeting at 10:00 AM MWF	Friday, December 15	9:00 AM - 12:00 PM
Classes meeting at 11:00 AM MWF	Monday, December 11	9:00 AM - 12:00 PM
Classes meeting at 1:10 PM MWF	Monday, December 11	1:30 - 4:30 PM
Classes meeting at 2:10 PM MWF	Friday, December 15	1:30 - 4:30 PM
Classes meeting at 3:10 PM MWF	Tuesday, December 12	1:30 - 4:30 PM
Classes meeting at 8:00 AM TuTh & 2:40 PM TuTh	Thursday, December 14	1:30 - 4:30 PM
Classes meeting at 9:45 AM TuTh	Tuesday, December 12	9:00 AM - 12:00 PM
Classes meeting at 1:10 PM TuTh	Wednesday, December 13	1:30 - 4:30 PM
Make-up exams; multi-section and other courses as assigned by the Registrar	Saturday, December 16	



# FINAL EXAMINATION SCHEDULE, 2023-24

# **SPRING SEMESTER 2024, BY EXAM DATE**

Exam Date	Exam Time	Class Meeting Time	
Monday, April 29	9:00 AM - 12:00 PM	Classes meeting at 10:00 AM MWF	
	1:30 - 4:30 PM	Classes meeting at 2:10 PM MWF	
Tuesday, April 30	9:00 AM - 12:00 PM	Classes meeting at 9:00 AM MWF	
	1:30 - 4:30 PM	Classes meeting at 8:00 AM TuTh & 2:40 PM TuTh	
Wednesday, May 1	9:00 AM - 12:00 PM	Classes meeting at 11:00 AM MWF	
	1:30 - 4:30 PM	Classes meeting at 1:10 PM MWF	
Thursday, May 2	9:00 AM - 12:00 PM	Classes meeting at 9:45 AM TuTh	
	1:30 - 4:30 PM	Classes meeting at 3:10 PM MWF	
Friday, May 3	9:00 AM - 12:00 PM	Classes meeting at 8:00 AM MWF	
	1:30 - 4:30 PM	Classes meeting at 1:10 PM TuTh	
Saturday, May 4	Make-up exams; multi-section and other courses as assigned by the Registrar		

# SPRING SEMESTER 2024, BY CLASS MEETING TIME

Class Meeting Time	Exam Date	Exam Time
Classes meeting at 8:00 AM MWF	Friday, May 3	9:00 AM - 12:00 PM
Classes meeting at 9:00 AM MWF	Tuesday, April 30	9:00 AM - 12:00 PM
Classes meeting at 10:00 AM MWF	Monday, April 29	9:00 AM - 12:00 PM
Classes meeting at 11:00 AM MWF	Wednesday, May 1	9:00 AM - 12:00 PM
Classes meeting at 1:10 PM MWF	Wednesday, May 1	1:30 - 4:30 PM
Classes meeting at 2:10 PM MWF	Monday, April 29	1:30 - 4:30 PM
Classes meeting at 3:10 PM MWF	Thursday, May 2	1:30 - 4:30 PM
Classes meeting at 8:00 AM TuTh & 2:40 PM TuTh	Tuesday, April 30	1:30 - 4:30 PM
Classes meeting at 9:45 AM TuTh	Thursday, May 2	9:00 AM - 12:00 PM
Classes meeting at 1:10 PM TuTh	Friday, May 3	1:30 - 4:30 PM
Make-up exams; multi-section and other courses as assigned by the Registrar	Saturday, May 4	

FALL SEMESTER	2023-2024	2024-2025	2025-2026	2026-2027
Ringing In Saturday	AUG 19	AUG 17	AUG 23	AUG 22
Classes Begin	AUG 23	AUG 21	AUG 27	AUG 26
Final Date to Add Full Semester and First Half Courses	AUG 29	AUG 27	SEPT 2	SEPT 2
Student Census*	SEP 5-6	SEP 3-4	SEPT 9-10	SEPT 8-9
Final Date to Drop (without record on transcript) a First Half-Semester Course or Request Credit/No Credit (CR/NC) Grading Option				
Final Date to Request Credit/No Credit (CR/NC) Grading Option Grading Option in a First Half-Semester Course				
First Day to Request Conversion to Credit/No Credit (CC/NC) Grading Option for a First Half-Semester Course	SEP 18	SEP 16	SEPT 22	SEPT 21
Final Date to Drop Full Semester Course (without record on transcript)				
Final Date to Request Credit/No Credit (CR/NC) Grading Option Grading Option in a Full Semester Course				
Final Date To Withdraw (with "W" on transcript) from a First Half-Semester Course				
Final Date to Request Conversion to Credit/No Credit (CC/NC) Grading Option Grading Option in a First Half-Semester Course				
First Day to Request Conversion to Credit/No Credit (CC/NC) Grading Option for a Full Semester Course	OCT 9	OCT 7	OCT 13	OCT 12
Midsemester	OCT 11	OCT 9	OCT 15	OCT 14
Midsemester Break	OCT 12-15	OCT 10-13	OCT 16-19	OCT 15-18
Classes Resume; Second Half-Semester Courses Begin	OCT 16	OCT 14	OCT 20	OCT 19
Mid-Term Grades Due	OCT 17	OCT 15	OCT 21	OCT 20
Final Date to Add a Second Half-Semester Course	OCT 20	OCT 18	OCT 24	OCT 23
Final Date to Drop (without record on transcript) from a Second Half-Semester Course				
Final Date to Request Credit/No Credit (CR/NC) Grading Option in a Second Half-Semester Course				
First Day to Request Conversion to Credit/No Credit (CC/NC) Grading Option for a Second Half-Semester Course	NOV 6	NOV 4	NOV 10	NOV 9
Pre-Registration for Spring Semester	NOV 6-10	NOV 4-8	NOV 10-14	NOV 9-13
Final Date to Withdraw (with "W" on transcript) from a Full Semester Course OR a Second Half-Semester Course				

SPRING SEMESTER	2023-2024	2024-2025	2025-2026	2026-2027
Written Comprehensives for Seniors	JAN 4-5, 8-9	JAN 9-10, 13-14	JAN 8-9, 12-13	JAN 7-8 11-12
Oral Comprehensive Exams for Seniors	JAN 10-12	JAN 15-17	JAN 14-16	JAN 13-15
Classes Begin	JAN 15	JAN 20	JAN 19	JAN 18
Final Date to Add Full Semester and First Half Courses	JAN 19	JAN 24	JAN 23	JAN 22
Student Census*	JAN 23-24	JAN 28-29	JAN 27-28	JAN 26-27
Celebration of Student Research (afternoon classes cancelled)	JAN 26	JAN 24	JAN 23	JAN 29
Final Date to Drop a First Half-Semester course (without record on transcript)	JAN 26	JAN 31	JAN 30	JAN 29
Final Date to Request Credit/No Credit (CR/NC) Grading Option in a First Half-Semester Course	JAIN 20	JAN 31	JAIN 50	JAN 29
First Day to Request Conversion to Credit/No Credit (CC/NC) Grading Option for a First Half-Semester Course	FEB 5	FEB 10	FEB 9	FEB 8
Final Date to Drop Full Semester Course (without record on transcript) or Request Credit/No Credit (CR/NC) Grading Option	FEB 9	FEB 14	FEB 13	FEB 12
Final Date to Request Credit/No Credit (CR/NC) Grading Option in a Full Semester Course	FED 9	FED 14	TED 13	TED 12
Final Date to Withdraw from First Half-Semester Course (with "W" on transcript) or Request Conversion to Credit/No Credit (CC/NC) Grading Option in a First Half-Semester	FEB 16	FEB 21	FEB 20	FEB 19
Course	FED 10	FED 21	TED 20	TED 19
First Day to Request Conversion to Credit/No Credit (CC/NC) Grading Option for a Full Semester Course	FEB 26	MAR 3	MAR 2	MAR 1
Midsemester	MAR 1	MAR 7	MAR 6	MAR 5
Spring Recess	MAR 2-10	MAR 8-16	MAR 7-15	MAR 6-14
Classes Resume; Second Half-Semester Courses Begin	MAR 11	MAR 17	MAR 16	MAR 15
Mid-Term Grades Due	MAR 12	MAR 18	MAR 17	MAR 16
Final Date to Add Second Half-Semester Course		MAR 21	MAR 20	MAR 19
Final Date to Drop Second Half-Semester Course (without record on transcript)	MAR 22	MAR 28	MAR 27	MAR 26
Final Date to Request Credit/No Credit (CR/NC) Grading Option in a Second Half-Semester Course	MAR 22			
First Day to Request Conversion to Credit/No Credit (CC/NC) Grading Option for a Second Half-Semester Course	APR 1	APR 7	APR 6	APR 5
Pre-Registration for Fall Semester	APR 1-5	APR 7-11	APR 6-10	APR 5-9
Final Date to Withdraw (with "W" on transcript) from a Full Semester Course OR a Second Half-Semester Course	ADD 12	APR 18	APR 17	APR 16
Final Date to Request Conversion to Credit/No Credit (CC/NC) Grading Option in a Full Semester Course OR a Second Half-Semester Course	APR 12			
Last Day of Spring Semester Classes	APR 26	MAY 2	MAY 1	APR 30
Final Exams	APR 29 - MAY 4	MAY 5 - 10	MAY 4-9	MAY 3-8
Senior Final Grades Due	MAY 6	MAY 12	MAY 11	MAY 10
Commencement	MAY 11	MAY 17	MAY 16	MAY 15
Junior, Sophomore, and Freshmen Semester Grades Due	MAY 13	MAY 19	MAY 18	MAY 17
SUMMER SEMESTER	2023-2024	2024-2025	2025-2026	2026-2027
Classes Begin	MAY 20	MAY 27 (Tuesday)	MAY 26 (Tuesday)	MAY 24
	one week after course's			
Final Day to Add a Summer Course and Final Date to Drop a Summer Course (without record on transcript)*	start date	start date	start date	start date
Memorial Day - No Classes	MAY 27	MAY 26		MAY 31
Independence Day Observed - No Classes	JUL 4	JUL 4	JUL 3	JULY 5
Final Date to Drop a Summer Course (with "W" on transcript)	two weeks prior to			
	course's end date	course's end date	course's end date	course's end dage
Last Day of Summer Semester Classes	AUG 16	AUG 15	AUG 14	AUG 13

<sup>\*</sup>Summer Semester courses at Wabash meet on varying schedules. Courses through Course Share are transfer courses and follow the deadlines of the host institutions.

The add period for Fall and Spring semesters opens one week prior to the first day of classes.

Course drops or withdrawals after Census can have financial aid consequences. Consult the Financial Aid Office before taking action.

-Faculty Member -Department Chair -Dean of the College -Division Chairs

Create folder and grant access to Dean, Div Chairs, Dept Chair, and Faculty Member. Include the following documents:

Review Timeline -Review Guidelines (Handbook)
-2<sup>nd</sup> Year Debrief Letter -2<sup>nd</sup> Year Department Letter

A list of 15 current students who will be asked for oral or written comments are due. (Box)

Add 15 more current students who have taken classes since the Faculty Member's last review to the list.

Pick 4 students each to interview.

Email the remaining 14 students for written comments.

Email Colleagues inviting them to write individual letters of comment. Candidate may also request that comments be solicited from particular colleagues who have special insight into the contributions of the candidate (e.g. chairs of all-college courses, chairs of committees, colleagues who have taught with the candidate etc.).

Student written comments are due. Combine written student comments into one document.

Electronic materials are due. Notify Dept Chair when upload is complete.(Box)

Review materials with Faculty Member, asking for clarification, if necessary, and additional information, if needed. Verify that the candidate statement does not exceed 7,000 words. Submit a brief summary of this conversation. (Box)

Colleagues' letters of comment are due. Remove Faculty Member's access and grant access to the Committee Members. (Box)

Submit summaries of student interviews. (Box)

Submit Department's letter of evaluation and recommendation (signed by all Committee Members) with all supporting materials. (Box) Notify Dean's Office that all of the Faculty Member's materials are in Box.

Remove Committee Members and Dept Chair's access, and grant access to the President and Executive Assistant. (Box)

Meet to verify the list of materials in Box and confirm that all steps in the process have been taken.

Discuss review materials.

Notify the Faculty Member of the President's decision.

Discuss the results of the review with Faculty Member in the

weeks after review.

Submit memo of the meeting. (Box)

Remove all access except for the Dean. (Box)

# SCHEDULE FOR FOURTH-YEAR REVIEW SPRING 2024

April 18: Review Schedule & Procedures Meeting:

-Faculty Member-Department Chair-Department Chair-Division Chairs

June 1: Sr Admin Assistant: Create folder and grant access to Dean, Div Chairs, Dept Chair, and Faculty

Member. Include the following documents:

-Review Timeline -Review Guidelines (Handbook) -2<sup>nd</sup> Year Debrief Letter -2<sup>nd</sup> Year Department Letter

January 12: Faculty Member: A list of 15 current students who will be asked for oral or written comments

are due. (Box)

Sr Admin Assistant: Add 15 more current students who have taken classes since the Faculty

Member's last review to the list.

**Div Chairs & Dept Chair:** Pick 4 students each to interview.

**Sr Admin Assistant:** Email the remaining 14 students for written comments.

**February 1:** Sr Admin Assistant: Email Colleagues inviting them to write individual letters of comment.

Candidate may also request that comments be solicited from particular colleagues who have special insight into the contributions of the candidate (e.g. chairs of all-college courses, chairs of

committees, colleagues who have taught with the candidate etc.).

**February 26: Sr Admin Assistant:** Student written comments are due. Combine written student comments

into one document.

March 11: Faculty Member: Electronic materials are due. Notify Dept Chair when upload is complete. (Box)

**Dept Chair:** Review materials with Faculty Member, asking for clarification, if necessary, and additional information, if needed. Verify that the candidate statement does not exceed 7,000

words. Submit a brief summary of this conversation. (Box)

Sr Admin Assistant: Colleagues' letters of comment are due. Remove Faculty Member's access

and grant access to the Committee Members. (Box)

Div Chairs & Dept Chair: Submit summaries of student interviews. (Box)

March 27: Dept Chair: Submit Department's letter of evaluation and recommendation (signed by all

Committee Members) with all supporting materials. (Box) Notify Dean's Office that all of the

Faculty Member's materials are in Box.

Sr Admin Assistant: Remove Committee Members and Dept Chair's access, and grant access to

the President and Executive Assistant. (Box)

March 29: Dean & Faculty Member: Meet to verify the list of materials in Box and confirm that all steps in

the process have been taken.

**April:** Dean & Div Chairs: Discuss review materials.

**By May 15:** Dean: Notify the Faculty Member of the President's decision.

**May:** Dean, Div Chair & Dept Chair: Discuss the results of the review with Faculty Member in the weeks after review.

**Div Chair:** Submit memo of the meeting. (Box)

**Sr Admin Assistant:** Remove all access except for the Dean. (Box)

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# PROCEDURES FOLLOWING STUDENT FAILURE OF COMPREHENSIVE EXAMS 2023-2024

- Department chairs report student name(s) and grade(s) of students failing comps to the Associate Registrar in a separate email from the other comps grades reported from the department.
  - Include in this message which parts of the exam (oral, written, or both) the student will need to retake.
  - Also include, next steps for students regarding retakes, such as the resources available to the student(s) in preparing for retakes, who in the department has been designated to mentor the student, or any special instructions that may apply. Note: retakes of the oral portion of the exam are scheduled by the Dean's Office; retakes of the written portion are scheduled by the department.
  - Also copied on this email are the Dean of the College and Associate Dean of the College/Registrar.
- 2. The Senior Administrative Assistant to the Dean of the College will schedule an appointment with the student(s) who failed the exam to come to Center 115 to meet with the Dean of the College and/or the Associate Dean of the College/Registrar, at which time the student will receive his exam results.
  - The Dean of the College and/or the Associate Dean of the College/Registrar will communicate to the student which parts of the exam will need to be retaken, and the next steps conveyed to them by the department chair in preparing for and scheduling retakes.
  - Students will be referred to the department chair or other member of the department as specified by the department chair if they have specific questions regarding their individual exam responses and departmental expectations.
  - The Dean of Students or Department Chair may also be invited to join this meeting if deemed appropriate in an individual case.
- 3. The Associate Registrar will not release comps grades for any student until there has been a reasonable attempt to have every student who failed meet with the Dean of the College and/or the Associate Dean of the College/Registrar. Grades may be released if a failing student is unresponsive to efforts to arrange a meeting.
- 4. Unless permission is given by the Dean of the College for an alternate schedule, retakes will be scheduled to take place no later than two weeks after spring break. In the 2023-2024 academic year that is March 22.
  - The Senior Administrative Assistant to the Dean of the College will schedule retakes of the oral potion of comps, with a different board of faculty from the student's first attempt.
  - The timeline for retakes of the written portion of comps will be determined and scheduled by the department chair.

- Results of retakes must be communicated by the department chair to the
  Associate Registrar (with cc to the Dean of the College and Associate Dean of the
  College/Registrar) by the Monday three weeks after classes resume following
  Spring Break. In the 2023-2024 academic year that is April 1. A student who
  successfully retakes comps receives a grade of "Pass" (i.e. he is not eligible for
  High Pass or Distinction).
- 5. The Senior Administrative Assistant to the Dean of the College will schedule another appointment with the student(s) who failed the first exam to come to Center 115 to meet with the Dean of the College and/or Associate Dean of the College/Registrar, at which time the student will receive the results from his retaken exam.
  - In the event of a student failing comps for a second time, the Dean of Students or a designee from the Dean of Students office will be invited to join this meeting, out of concern for informing a student that he will not graduate that May.
  - Students failing their retake of comps will be informed that they are permitted to retake their comps the following January.

### **Failure for Missed Oral Exams**

If a student fails to arrive at the regularly scheduled time of his senior oral examination, the committee chairperson will inform the Office of the Dean of the College. The missed exam will be treated as a failure and the student will be scheduled for an exam retake pending review of a potential petition to the Curriculum Appeals Committee. If the committee's review of a student appeal finds that the absence was not within the student's control, then the student's examination will be rescheduled and the student will not be deemed to have failed the senior oral examination.

#### **SALARY REVIEWS**

#### 1. **FACULTY**

The Faculty Salary Review procedure, reprinted below, appears in section 5.1 of the Faculty Handbook, in the Faculty Salaries and Benefits chapter. The specific details related to these steps are announced annually by the Dean of the College and the Division Chairs.

Wabash faculty regularly reflect on and document their professional development, and these activities form the basis for the biennial salary review process. In this process, salary merit levels are set for two years, though the salary increment associated with that merit level may vary across the two years depending on the size of the faculty raise pool. The value of the salary increment for continuing good work, merit units, and administrative service is established annually after the raise pool has been set for the next fiscal year. At the beginning of each review, faculty should revisit the description of faculty responsibilities in section 2.1 Expectation of Faculty Excellence.

For pre-tenure faculty, the second-year, fourth-year, and tenure review dossiers (including the Departmental Review letters) will serve as the salary review materials. The Personnel Committee will use these, plus a one-page (double-sided) activity sheet (but no additional reflective statement) addressing the two-year period, to assign a merit level following each review and, based on the approval of the President, will report the salary adjustments to the appropriate faculty member. In cases where the tenure clock is delayed for one year, the previous merit assignment will generally carry over until the next review. While first-year tenure-track faculty members are not eligible for a regular salary increase, they will receive the Placher Fund Salary Supplement in their second year.

Tenured faculty will submit biennially, beginning in January of their second-year post-tenure, a list of activities of the previous two years, a reflection on important contributions to the College, and a description of goals for the next two years (of approximately 1500 words, not to exceed 3000). The personnel committee seeks to recognize the good work faculty do across a variety of activities. Faculty are encouraged to reflect on teaching, scholarly activity, service, and other informal work and activities that might otherwise be invisible, elude easy quantification, or fit neatly into commonly recognized categories so that it may be considered by their department chair and the personnel committee. These documents should be submitted to the Senior Administrative Assistant to the Dean of the College and the relevant Department Chair (Department Chair materials also go to the relevant Division Chair). The Department Chair reviews these documents with the faculty member to ensure a thorough understanding of the faculty member's accomplishments and how the College can best support his or her goals. Department Chairs should provide their Division Chair with similar documents, including administrative goals, for discussion. The same procedure applies to Division Chairs, and their reports to the Dean of the College. By the end of February, Chairs provide a written evaluation of the faculty member's strengths and weaknesses, in support of a salary recommendation. This evaluation is supplied to the Senior Administrative Assistant to the Dean of the College with a copy of the evaluation and recommendation also given to the faculty

member. The Personnel Committee reviews these materials. If their evaluation is different from that of a Department Chair, the latter will be advised, allowing further discussion of the recommendation. The Dean of the College and Division Chairs send their final recommendations for faculty salaries to the President for approval. After all salaries have been approved, Department Chairs will be informed.

The salary process for contingent faculty continuing at the College beyond a period of two years is similar to that for tenured and tenure-track faculty, except that reviews generally take place annually, are shorter, and Department Chair recommendations are discussed by the Dean of the College and the Senior Associate Dean of the College prior to approval by the President. However, salary reviews for faculty with the title of Assistant or Associate Teaching Professor will generally be conducted on a biennial basis like continuing faculty. The Senior Associate Dean will notify the faculty member and appropriate Department Chair at the time of reappointment whether the contingent faculty member should enter the summer wage pool and prepare an activities review document.

#### 2. Staff

Since 2015, Wabash has used an annual review process for academic staff. The goals of the annual review process are to:

- ensure each employee receives formal and regular feedback;
- encourage employees to engage in an annual process of reflection on their contributions to the work of the College;
- offer supervisors and the Dean's office a clearer process for considering achievement; and
- provide more transparency to employees about how they are evaluated and receive merit-pay increases.

These goals remain the same, while in 2022 a new annual performance evaluation form was introduced to create more consistency for employees across the College.

Information and forms for the annual review process will be sent to staff members and supervisors near the beginning of the calendar year. Employees submit a completed Performance Evaluation Template to their supervisor, in which they reflect on the previous year's worklusd a emtlfyrvis.

year, should be submitted to the Senior Administrative Assistant to the Dean of the College. If a supervisor would like to recommend a staff member for a merit-based salary increase (an increase beyond continuing good work), a rationale for that increase should be provided in the form of a separate, short memo or letter to the Senior Associate Dean, generally indicating the degree of merit from 0.5 to 2.0 units (in exceptional or unusual circumstances a supervisor may recommend 2.5 or 3 merit units). On a four-year cycle, employees and supervisors will review and update job descriptions as part of this process, with the positions due for review and updated descriptions announced each year.

#### **Professional Development Approval Process**

Department chairs have a role in reviewing some Faculty and all Staff Professional Development proposals. For further information about these processes, please refer to Chapter 4 of the Faculty Handbook and Section 2.11 of the Employment Guide for Business Travel Policies. Procedures differ by type of appointment.

#### **Dean's Professional Travel Funds**

- Available to faculty and associated faculty depending on teaching responsibilities. Funds are generally prorated based on level of teaching
- Academic Faculty Travel Pre-Approval Forms are submitted to the Senior Administrative Assistant to the Dean of the College
- Associated and part-time faculty requests are approved by Senior Associate Dean
- For faculty not continuing for next academic year, professional travel must occur before commencement
- Details found in section 4.2 of the Faculty Handbook

#### **Coss Faculty Development Fund**

- Available to faculty and associated faculty; priority given to tenured and tenure track faculty
- Approved by Faculty Development Committee
- Details found in section 4.3 of the Faculty Handbook

#### **BKT Research Grants**

- Byron K. Trippet Assistant & Associate Professors eligible to apply
- Proposals submitted to the Senior Administrative Assistant to the Dean of the College and approved by Dean and Division Chairs
- Details found in section 4.4 of Faculty Handbook

#### **Sabbatical Leave Program**

- Tenured faculty eligible in their sixth year to apply for leave in seventh year; every seventh year after that.
- Department Chairs should be involved in discussions with faculty members about their plans in the two years prior to eligibility
- Sabbatical requests by tenured faculty are due by September 1 of the year preceding the requested sabbatical leave to the Department chair and Senior Administrative Assistant to the Dean of the College, who then submits it to the Division Chair (those undergoing a tenure review submit their sabbatical proposal in their review materials)
- When two or more members of the same department are simultaneously eligible, the
  Department Chair submits an evaluation of the effect on the Department's program to the Dean
  of the College
- Sabbatical reports are submitted to the Department Chair and the Dean of the College
- Details found in section 4.5 of faculty handbook

## **Outside Grant Proposals**

- Faculty should consult with Department Chair about their plans to apply for outside funding, including potential impact upon teaching availability and departmental resources
- Faculty must submit a Proposals Needs Checklist
   (<a href="https://www.wabash.edu/grants/resources/forms/proposal-needs-checklist">https://www.wabash.edu/grants/resources/forms/proposal-needs-checklist</a>), which notifies the Grants Office, Dean of the College, and Business Office of the planned submission. It's important to allow sufficient time for review before submission

• Details are outlined in the Faculty Grants Manual and at https://www.wabash.edu/grants/

# **Staff Professional Development and Travel (Business Travel)**

- Attendance at workshops or conferences at the request of their supervisor or at initiation of staff member
- Approved by supervisor and the College officer in charge of the department's budget. In most cases in academic affairs, the College officer is the Senior Associate Dean of the College
- Reimbursed for reasonable travel expenses, funding source is departmental budget
- Non-exempt employees may be paid for hours worked while attending the meeting and engaged in work. Contact the Human Resource Office for more information.
- Details are outlined in Section 2.11 of the Employment Guide

## The Consortium for Faculty Diversity at Liberal Arts Colleges

Information for Departmental Search Committees at Wabash College
From the CFD Website: https://www.gettysburg.edu/offices/provost/consortium-for-faculty-diversity/

#### **Mission and Goals**

Founded in 1987 with initial funding from the Mellon Foundation, the Consortium for Faculty Diversity at Liberal Arts Colleges (CFD) is committed to increasing diversity of students, faculty members, and curricular offerings. The Consortium places particular focus on enhancing the diversity of faculty members and of applicants for faculty positions.

#### **Shared Commitments of Member Institutions**

- To build diversity within their faculty because a wide range of faculty experiences and ideas is crucial to fulfilling the teaching and learning missions of liberal arts colleges.
- To strengthen the interest of candidates from traditionally under-represented groups in careers at liberal arts colleges.
- To promote the Teacher-Scholar model of faculty life that is the norm at liberal arts colleges.
- To increase the diversity of the pools of candidates for their tenure-track faculty positions.
- To improve the rate of completion of terminal academic degrees by U.S. citizens or U.S. permanent residents and members of under-represented groups.

## Fellowships for Candidates Who Enhance the Diversity of the Academy

Doctoral candidates and recently-minted PhDs are invited to apply for a either a dissertation fellowship or a post-doctoral fellowship via Interfolio. To apply, they submit:

- Cover letter
- CV
- Three confidential letters of recommendation

All member institutions have access to applicants' dossiers on the Interfolio site and can search for qualified applicants, but are given wide leeway in the timing and format of their searches.

#### **CFD Fellowship Guidelines**

#### **Post-Doctoral Fellows:**

- Teach a 60% course load
- Received PhD no more than five years prior to the start of the postdoctoral appointment
- Receive strong, active mentorship in teaching, research, and the campus/faculty life of a liberal arts college
- Participate in all departmental functions
- Are U.S. citizens or legal residents

#### **Dissertation Fellows:**

- Teach one semester-long course
- Complete dissertation during fellowship year
- Receive strong, active mentorship in teaching, research, and the campus/faculty life of a liberal arts college
- Are U.S. citizens or legal residents

#### Wabash's Commitment to the CFD

As a member institution, Wabash pays an annual membership fee and commits to interview at least one candidate for a post-doctoral fellowship every other year and to strive to offer one post-doctoral fellowship every third year.

## **CFD** in Practice at Wabash College

Each year, the Dean of the College assesses departmental and college-wide hiring needs and notifies a Department Chair if there are funds for a CFD post-doctoral fellow in that department for the coming year. The Dean asks the department chair if the department would be interested in this type of faculty line. If the department says yes, the Chair works with the Special Assistant to the President for Diversity, Equity, and Inclusion to identify qualified applicants via the Interfolio site.

For CFD post-doctoral fellowships, we do not separately advertise or post an opening on our employment site because we follow all guidelines for post-doctoral fellowships set by the CFD, and we consider only applicants from that pool.

## Strong, Active Mentorship in the Campus/Faculty Life of a Liberal Arts College

Wabash fulfills this promise to the CFD by assigning faculty mentors to each CFD Fellow; inviting CFD Fellows to participate in New Faculty Orientation and in all department, division, and faculty meetings; offering pedagogical support through the Coordinator for Faculty Development and the Teaching and Learning Committee; and in the myriad other ways Wabash professors welcome new faculty into our community and campus life.

## Using Our CFD Membership to Expand other Faculty Applicant Pools

All member institutions can send a campus representative to the CFD conference each year. Conference events are designed for campus reps to network with current Fellows, to advertise their faculty openings for the coming year, and to share hiring strategies with other campus reps.

Because we are a member institution, the CFD website links to our employment website, so candidates can easily click through to read about all employment opportunities at Wabash. Sometimes CFD candidates write to our CFD Campus Representative (Special Assistant to the President for Diversity, Equity, and Inclusion) to inquire about possible faculty positions at Wabash. If we do not have a post-doctoral fellowship in their field, we direct them to other current or future faculty openings where possible.

Because two of the commitments of the CFD are to build diversity at liberal arts colleges and to increase the diversity of candidate pools in TT searches, Wabash may also use the CFD Interfolio database to expand the pool of applicants for our VAP and TT lines.

We treat CFD candidates in broader faculty searches differently than post-doctoral appointments, however. For VAP and TT openings, we need to contact CFD applicants (via email) to ask if they are interested in applying for a full-time faculty line. The question of whether to apply is left to the applicants, but if they apply, they enter our public applicant pool through the Wabash Employment site and are evaluated by the Search Committee along with all the other applicants.

#### **Member Institutions 2022-23**

Albion • Allegheny • Amherst • Bowdoin • Bryn Mawr • Bucknell • Carleton • Claremont McKenna • Coe • Colgate • Colorado • Davidson • Denison • DePauw • Dickinson • Eckerd • Flagler College • Franklin & Marshall • Gettysburg • Grinnell • Gustavus Adolphus • Hamilton • Hampden-Sydney • Haverford • Hobart & William Smith • Hollins • Holy Cross • Illinois Wesleyan Juniata • Lafayette • Lawrence • Lewis & Clark • Lycoming • Macalester • Manhattanville • Mount Holyoke • Muhlenberg • New College of Florida • Oberlin • Occidental • Pitzer • Pomona • Providence • Randolph-Macon • Reed • Roanoke • Saint Anselm • St • Scripps • Sewanee • Skidmore • Soka • St. Benedict/St. John's • St. Lawrence • Swarthmore • Wooster • Transylvania • Trinity • Union • University of Richmond • Ursinus • Vassar • Virginia Wesleyan • Wabash • Washington & Lee • Wellesley • Westminster • Whitman • Williams

## **FACULTY HIRING PROCEDURE**

2023 - 2024

Additional resources for faculty hiring are available to those leading searches in the Hiring Guidance Box folder.

- 1. Notification to *Department Chair* of possible tenure track or contingent<sup>1</sup> (full- or part-time leave replacement) position. Discussion by the *Department* of needs, criteria, and strategy for attracting robust, diverse applicant pool. In the case of tenure track searches, this generally includes submission of a position proposal by approximately April 15<sup>th</sup> in the spring preceding the search.
- 2. Discussion between the *Dean and Division Chair(s)* of written rationale.
- 3. Permission to hire from the *Dean* to the *Department Chair*. For visiting positions, decisions to hire are generally made by the start of the spring semester, following when faculty going on sabbatical must indicate their plans for the following year. For tenure track positions, decisions to hire will be communicated by the week following Commencement and the Board of Trustees meeting.
  - For contingent positions, once permission to hire is granted, the Senior Associate Dean is the Dean's designee. Thus, in the remainder of these guidelines, for contingent searches the Senior Associate Dean is the point of contact whenever the Dean is noted.
- 4. Department Chair completes the "ad posting" form and consults with Dean's Office on ad publication and closing dates (for tenure track hires, allow at least 30 days after publication before closing a search), advertising sites (including major professional sites and professional sites that focus on diversifying candidate pools), the ad's contents, search timeline, and position outreach. Wabash is a member of the Consortium for Faculty Diversity (CFD), which is another resource for faculty hiring. For more information about the CFD, please see description in the Department Chair Handbook.

Ad should include:

Following AAUP definitions, "The term 'contingent faculty' includes both part-time and full-time faculty who are appointed off the tenure track." This may include practitioners or professionals in law, accounting, music performance, or studio art, for example, who are hired to teach one or two courses or provide instrumental music, music ensemble, or studio art instruction. It may also include visiting faculty, postdoctoral fellows, emeritus faculty, or adjunct faculty appointed off the tenure track. This policy is designed to maintain the quality of student learning and high student engagement both in and out of the classroom, and to offer all faculty opportunities for professional development and participation in faculty governance. See AAUP Policy Documents and Reports, "Contingent Appointments and the Academic Profession" (2003), <a href="https://www.aaup.org/report/contingent-appointments-and-academic-profession/">https://www.aaup.org/report/contingent-appointments-and-academic-profession/</a>

- Name of the college
- Area and specialty
- Type of appointment and rank
- Starting date of appointment
- Teaching responsibilities
- Necessity of a commitment to teach all-college courses (for tenure track hires)
- Expectations for teaching and professional development (e.g. applicants must have a commitment to excellence in undergraduate teaching, an appreciation for the broad intellectual community of an excellent liberal arts college, and the ability to work with a diverse student body)
- Description of Wabash's commitment to promoting equity and inclusion and diversity on our campus:
  - In the midst of a strategic transformation to advance the success of first-generation students, students of color, and students from low-income families, Wabash College is committed to attracting and effectively supporting faculty from all backgrounds, including academically and culturally diverse faculty. More than 25% of our students are federal Pell Grant recipients, one-third of our students are first-generation college students, and about 20% of our students identify as domestic students of color. Wabash has been home to the Malcolm X Institute of Black Studies for more than fifty years, is a member of the USC Race and Equity Center's *Liberal Arts Colleges Racial Equity Leadership Alliance*, is a top-60 Liberal Arts College according to *U.S. News*, and is a member of *Colleges That Change Lives*.
- Degree requirements
- Other experience required, if applicable
- Application material required (application letter, curriculum vita, teaching philosophy, email addresses for references, and unofficial undergraduate and graduate transcripts; department may also request evidence of teaching effectiveness or teaching evaluations and, in the case of tenure track hires, a sample of scholarship, research, or creative work)
- Closing date/date applicant review will begin
- Name of the search chair and academic administrative coordinator (e-mail address if appropriate)
- Directions for submission of materials to employment website (<u>www.wabash.edu/employment</u>)
- Standard EOE line: "Wabash seeks faculty and staff who are committed to
  providing quality engagement with students, high levels of academic challenge
  and support, and meaningful experiences that prepare students for life and
  leadership among diverse populations around the globe. Wabash is an equal
  opportunity employer."

Upon hire, Wabash requires successful completion of a background check and official transcripts. Notification of this requirement can be discussed during campus interview and included in appointment letter.

Other potential information: availability of summer and start up support, selling points of the department or College, including availability of professional development and BKT funds (when applicable), and other details as determined by the department. Recent sample ads are available in the Hiring Guidance Box folder.

The employment website also allows use of up to five application questions and the identification of ratings criteria. The application questions can be used to collect information such as a URL to a portfolio of scholarly work, whether or not a candidate has completed his/her graduate degree, area of specialty, classes an applicant is prepared to teach or interested in teaching, and so on. Visiting searches will include questions related to possible need of visa sponsorship (see guideline "e" below).

Employment website questions are ideal for collecting information that is desired on all candidates and can be incorporated into a live excel sheet, thus assisting Academic Administrative Coordinators in their organization of the search. *However*, being mindful of the unintended implications of hidden or implicit bias, these questions should *not* include year of degree completion or name of undergraduate or graduate institution.

Ratings criteria such as "Overall" evaluation, "Relevant experience," "Teaching Experience," "Quality of Teaching" and others can be used by the search committee in evaluation of candidates. Application questions and rating criteria must be set up at the time a position is posted on the employment website and should be noted on the ad posting form.

Additional guidelines for advertising contingent faculty positions:

- a. When emeritus faculty are available to teach, they may be hired as contingent faculty on approval of the Dean and Division Chair and without advertising a position.
- b. Practitioners or professionals in a specialized area of instruction (law, accounting, music, studio art) may be sought locally and through professional networks. New positions should be advertised on the College website in addition to actively recruiting individual candidates. Departments undertaking a search that it anticipates will result in the hire of a practitioner or professional without a terminal degree in their discipline will, prior to posting a position description, determine in writing what equivalent experience would provide satisfactory faculty qualifications and how the evaluation will be determined. The utilized criteria and documentation that the hired candidate possesses equivalent

- professional experience must be submitted to the Office of the Dean of the College. For full details, see "Faculty Qualifications" in the Faculty Handbook.
- c. Contingent faculty positions should be advertised on the College website at least and/or regionally by directly contacting appropriate graduate programs at Purdue University, IUPUI, Indiana University, and University of Illinois at Champaign-Urbana. These positions may also be advertised more widely using professional listservs and other electronic media outlets. When appropriate, full-time contingent positions may involve a national search.
- d. Advertisements should follow the institutional guidelines for regular faculty hiring but should clearly specify duration of appointment and that the position is not tenure track.
- e. The college does not provide H-1B sponsorship for initial appointment to short-term contingent positions. If this is likely to be relevant, the position advertisement should include the following statement: "Wabash College does not provide H-1B or other immigration case sponsorship for short-term visiting positions." Relatedly, the employment website includes the following two application questions: Are you legally authorized to work in the United States? (yes or no) Will you now or in the future require sponsorship for employment visa status (e.g. H-1B visa status? (yes or no).
  - I. If an applicant answers "yes" to the first question and no to the second question, they are eligible for any position and no further questions may be asked about immigration status.
  - II. If an applicant for a visiting position answers "yes" to both questions and is selected for a short list interview, the following questions may be asked:
    - --What is the basis of your current employment authorization?
    - --When does that employment authorization expire?
    - --Have you ever been on a J-1 visa?
  - III. If a candidate answers "no" to the first question and "yes" to the second question and is selected for a short list interview, the following questions may be asked:
    - --What is your current immigration status?
    - --When does your current immigration status expire?
  - IV. If a candidate answers "no" to both questions, the candidate is not eligible for employment. The candidate likely misunderstood the questions and additional follow-up with the candidate is permissible.

Answers to follow-up questions should be shared with the Dean and Director of International Programs if the hiring committee would like to proceed with inviting the candidate for an on-campus interview.

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- f. In addition to posting on sites covering the academic discipline, whenever possible new positions also should be advertised on sites that cater to diversifying candidate pools as a strategy for maintaining the College's commitment to excellence and diversity in our faculty.
- 5. Ad, drafted by the *Department* and approved by the *Dean*, is placed by the *Dean's Office* in the agreed upon advertising sites.
- 6. Applications will be received via the Wabash employment website (<a href="http://www.wabash.edu/employment/">http://www.wabash.edu/employment/</a>). Applicants receive an automated confirmation email upon submission of their application. EOE survey information is collected electronically in the employment website. Academic Administrative Coordinators have the ability to upload additional and updated candidate materials.
- 7. The appropriate *Department Chair* and *Academic Administrative Coordinator* are assigned as the manager of the application pool and give search participants access to necessary files. Review of candidate materials takes place via the employment website: <a href="https://www.wabash.edu/employment/admin/">www.wabash.edu/employment/admin/</a>. Instructions and information about the system is on the login page and *Academic Administrative Coordinators* maintain a user's guide for the employment system.
- 8. Prior to beginning review of applicants, search committees should review materials on implicit bias and establish a set of criteria for candidate review.
- 9. Department reviews applications and identifies short-list candidates (generally 6-10), ranked and with rationale and including candidate review criteria, for the Personnel Committee to review. The Personnel Committee (Dean and Division Chairs for tenure track searches; Senior Associate Dean and relevant Division Chair for contingent searches) looks at the appropriateness and strength of each candidate's credentials, the potential for contribution to the department and to the College based on teaching, research, and service, and contributions to campus diversity broadly conceived. The Dean or Senior Associate Dean gives approval for short-list conference, video, or phone interviews. Please allow at least a week for the Personnel Committee and Dean to give this approval. Preliminary interviews should be scripted. Notes should be added to the department's files on the candidate. Interviewers should review guidelines on inappropriate interview questions. The Department Chair invites candidates for short list and on-campus interviews, and AACs schedule the interviews.
- 10. Following any short-list interviews, *Department Chair* identifies the best qualified candidates (generally 3-5), who will be considered for campus interviews. *Personnel Committee* reviews recommendation and candidates. *Dean* approves candidates to be

invited for interviews. (The number of candidates to be interviewed on campus will be determined in discussion with the Dean. For visiting positions, candidates are typically invited to campus one at a time.) Copies of the approved candidates' vitas and recommendations are kept in the *Dean's office*. Answers to follow up immigration questions should be shared with the Dean's office before an interview invitation is issued and visit is scheduled. With respect to the participation of employees in hiring processes involving their family members, the employee may be involved in the search, but not at the decision-making points, such as selecting candidates to interview and recommending candidates for the position.

- 11. Department and Academic Administrative Coordinator arrange interview dates and schedule interviews in consultation with the Office of the Dean of the College. Academic Administrative Coordinators have access to a shared Outlook hiring calendar that is used to organize candidate visits. Scheduling needs to be done in consultation with the College's calendar and the appropriate Division Chairs', the Dean's, and, in the case of contingent hires, the Senior Associate Dean's schedules. Seminar or presentation times should be arranged to allow these people to attend and so as not to conflict with scheduled business such as APC and faculty and division meetings. This also means, to the extent possible, working around previously scheduled events. Department search committee members, Deans, and Division Chairs receive access to candidates' materials via the employment website. Outside faculty generally receive only cover letter and CV; departments may supply teaching philosophy at their discretion. Campus Ambassadors do not receive access to the candidates' materials, as the goal of the Campus Ambassador Program is for the candidates to converse with campus members outside of and apart from the candidate evaluation process. Student participants generally do not receive candidate materials, and cannot be added to the employment website (nor can others who are not presently employed by the College). All involved in the interview process should be given a copy of the document "Interviews – What's Inappropriate to Ask?" Academic Administrative Coordinators should record outside faculty participating in a search on the running log kept in the Hiring Guidance Box folder. Departments should consult this list when making selections so as not to overburden a handful of faculty members.
- 12. When faculty candidates come to campus, the dinners should include department members but no spouses or partners. Department Chairs are strongly encouraged to keep the per person meal cost below \$40 and to consider Bon Appetit as an on-campus meal alternative to area restaurants.
- 13. Academic Administrative Coordinators (AACs) arrange transportation from the airport, lodging, meals, and rooms on campus, as well as set up appointments with faculty, administrators, and students. (Job candidates generally arrange flights themselves and

are reimbursed for their expenses. If needed, flight arrangements can be booked by the Travel Coordinator.) AACs also provide the job ad and candidate's schedule to oncampus interviewers. *Academic Administrative Coordinators* should send a general memo to the entire faculty announcing a search in progress, giving the candidate's name and the time and place of any public presentations. With temporary hires, the outside *Division Chairs* and *Dean* should still receive copies of the candidates' paperwork.

# Interview scheduling

The following events and appointments need to be arranged:

BKT/ Tenure track	Temporary appointments
Public presentation	У
Students (6-8 meal or class)	У
Faculty (3 other dept/div.)	Can substitute Campus Ambassadors
Faculty in Dept.	У
Chair initial and exit interview	У
Dean	Senior Associate Dean
Div. Chair	У
Other Div. Chairs	n
Campus tour	У
Teaching demo strongly recommended	Strongly Recommended
Offer to meet with a Campus Ambassador	

All interviews must produce one or more ways to evaluate teaching ability and potential. A preferred mechanism for this evaluation is a teaching demo using an existing class or a teaching experience constructed for the interview. If a teaching demo is not used, the department must require a portfolio of material demonstrating teaching effectiveness as part of the application materials and/or request that at least one letter of recommendation be requested from a recommender who is able to insightfully comment on the candidate's teaching.

#### Other considerations:

- a. Tenure track candidates will need to spend about 1 1/2 days on campus to allow enough time to schedule the necessary appointments; for visiting appointments, one day visits are generally sufficient.
- b. All persons involved in the interview process should be invited to attend the candidate's public presentation and to report their comments to the *Department Chair*, with the exception of the Campus Ambassadors.
- c. If possible, *department members* should have individual interviews of 20-30 minutes in length with candidates. It is at the department's discretion whether to include current visiting faculty members.

- d. Meetings with students and with outside faculty shouldn't include departmental faculty. Candidates should be provided with the names of the students and faculty they are seeing. In the case of faculty, their department and rank help the candidates know to whom they are talking, and an email address provides an opportunity to ask follow up questions.
- e. If possible, the outside faculty group should include at least one untenured faculty member. Please consult the list of outside faculty who participated in other searches in the Hiring Guidance Box Folder to minimize the number of faculty participating in multiple searches.
- f. Candidates may also be offered time with Campus Ambassadors in addition to meeting with outside faculty (for temporary appointments, Campus Ambassadors can replace the outside faculty at the discretion of the Search Committee Chair). The Campus Ambassador Program is designed to give candidates a time during the interview to ask their own questions about the variety of ways faculty and staff live, work, and volunteer in Crawfordsville and surrounding areas. Ambassadors are chosen by the candidate rather than the search committee, and Campus Ambassadors do not attend the candidate's public presentation or offer written feedback to the committee chair. Campus Ambassadors cannot ask personal questions or otherwise depart from best practices on appropriate and inappropriate questions for interviews, but Ambassadors can volunteer information about their own experiences at the College and in central Indiana.
- g. Department Chairs should offer candidates an opportunity to schedule other tours and meetings to the extent interested, eg realtor, library, Advancement or other.
- h. Candidates should be provided breaks, including one before their presentation.
- To the extent relevant to the search, departments should review the College's statement on "Spouse and Partner Employment" and the "Faculty Shared Position Policy."
- 14. Following campus interviews, *Department Chair* gathers commentary from faculty, staff, and students. For tenure track searches, *Division Chair* provides written feedback from *Personnel Committee* to *Department Chair*. After considering outside and inside commentary, *Department* discusses and ranks the candidates in determining who is best qualified for the position.
- 15. *Department Chair* sends candidate ranking and rationale to the *Dean*, who then discusses with the Division Chairs.
- 16. Dean determines the outcome of the search, notifies Department Chair.

- 17. Offer made to candidate by the *Dean*.
- 18. After a candidate has verbally accepted the position, the *Dean* sends an appointment letter. A signed and returned appointment letter from the candidate confirms the appointment.
- 19. After a signed appointment letter is returned, *Department* contacts other finalists to tell them the outcome of the search. *Academic Administrative Coordinator* sends e-mails to all other applicants notifying them that the position has been filled. (Notification may be sent earlier to applicants who didn't make the first cut.) Sample "position has been filled" letter is available to departments and academic administrative coordinators. Request this sample language from the Director of Human Resources. Notifications sent by AACs should be via the employment website.
- 20. All applicant files will be stored electronically and access will be restricted to the Dean's office.

#### HIRE INFORMATION - WHAT TO RETAIN IN JOB SEARCHES

- 1. Copy of the academic administrative coordinator's files related to the search, including any merge file that was created pertaining to the search and substantive communication about the search or with applicants. Simple informational communications with applicants do not need to be kept.
- 2. Documentation of the Departmental decision-making process.
  - a. Any written notes that may exist on the selection process. Why applicants made lists, why others did not.
  - b. Short list of applicants, interview list(s).
  - c. Copies of the interview schedules.
  - d. Any written notes on the final selection.

The Academic Administrative Coordinators have agreed to electronically bundle all of this information for storage for a period of three years, as is required, after which time it should be deleted. Information will be kept in confidence, to be used only in the case of a complaint.

#### **ACADEMIC AFFAIRS STAFF HIRING PROCEDURE**

2023-2024

The varied nature of staff positions makes a single, uniform procedure for hiring Academic Affairs staff challenging. This is because departments and academic offices have different configurations; applicant pools and advertising outreach can differ; and the responsibilities of staff persons—including their amount and type of interaction with students and other constituencies—can vary significantly. The following, modeled on the faculty hiring procedure, provides a general process for the hiring of Academic Affairs staff, but should be implemented with a degree of flexibility to suit the needs of each position and search. Deviations should be discussed with the Senior Associate Dean of the College.

Academic Affairs staff searches are generally organized by the Senior Associate Dean of the College, in consultation with the Dean of the College. The Senior Associate Dean works with the relevant Department Chair or area supervisor to craft a procedure fitting for the particular search.

- 1. Department Chair or area supervisor discusses position need and hiring rationale with the Dean of the College and Senior Associate Dean of the College.
- 2. Once a search has been approved, the Department Chair or area supervisor works with the Senior Associate Dean on the details of the search including search timeline, advertising outlets, and job ad.
- 3. Search timeline. Searches utilizing broad advertising networks, such as national listservs and organizational outlets, should generally allow jobs to be posted for at least 30 days prior to closing. Support staff searches that rely more on local networks may run for a shorter duration.
- 4. Position advertising. Department Chair or area supervisor should discuss ideal advertising outlets with the Senior Associate Dean. For professional staff, use of known professional networks and contacts is encouraged. For support staff searches, utilizing area contacts and local newspapers is encouraged. All jobs will be posted on the Wabash employment website (http://www.wabash.edu/employment/).
- 5. Job ads should include:
  - Name of the college
  - Position title
  - Name of department(s) or area in which the position is located
  - Description of job responsibilities
  - Type of appointment (salaried or hourly; full-time or part-time)
  - Degree requirements and relevant qualifications (credentials and skills)

- Indication of required application materials (cover letter, resume, list of references; depending on nature of position, reference letters and academic transcripts may be required)
- Name of the search chair and/or Academic Administrative Coordinator (e-mail address if appropriate)
- Directions for submission of materials to employment website (www.wabash.edu/employment)
- Standard EOE line: "Wabash, a liberal arts college for men, seeks faculty and staff who are committed to providing quality engagement with students, high levels of academic challenge and support, and meaningful experiences that prepare students for life and leadership among diverse populations around the globe. Wabash is an equal opportunity employer and welcomes applications from persons of all backgrounds."

In positions where a bachelor's degree or higher is required, Wabash requires official transcripts upon hire. Notification of this requirement can be included in job text or discussed during campus interview and included in appointment letter.

The ad may also integrate other potential information, such as selling points of the department, program, or College, including availability of professional development support. Consider including this description of the College's commitment to cultivating a diverse, inclusive environment: "In the midst of a strategic transformation to advance the success of first-generation students, students of color, and students from low-income families, Wabash College is committed to attracting and effectively supporting faculty and staff from all backgrounds, including academically and culturally diverse faculty and staff. More than 25% of our students are federal Pell Grant recipients, one-third of our students are first-generation college students, and about 20% of our students identify as domestic students of color. Wabash has been home to the Malcolm X Institute of Black Studies for more than fifty years, is a member of the USC Race and Equity Center's Liberal Arts Colleges Racial Equity Leadership Alliance, is a top-60 Liberal Arts College according to U.S. News, and is a member of Colleges That Change Lives. Many students, staff, and faculty find the close-knit community of the residential college one of the most rewarding aspects of their time here."

The employment website also allows use of up to five application questions and the identification of ratings criteria. Application questions can be used to collect information such as a URL to a portfolio of work, field of degree, type of experience, etc. However, being mindful of the unintended implications of hidden or implicit bias, these questions should not include year of degree completion or name of undergraduate or graduate institution.

Ratings criteria such as "Overall" evaluation, "Relevant experience," and others can be used by the search committee in evaluation of candidates. Application questions and rating criteria must be set up at the time a position is posted on the employment website.

Additional considerations related to searches and advertising:

- a. When other current college employees or retired employees are available and suitable for a position, particularly short-term positions, they may be transferred or hired based on discussion with the Dean and Senior Associate Dean and without advertising a position.
- b. In addition to posting in prominent locations for the area of hire, whenever possible positions also should be advertised in locations that cater to diversitying candidate pools as a strategy for maintaining the College's commitment to excellence and diversity in our faculty and staff.
- 6. Completed ad, once approved by the Dean's Office, is placed by the Human Resources Office in the agreed upon advertising outlets.
- 7. Applications will be received via the Wabash employment website (<a href="http://www.wabash.edu/employment/">http://www.wabash.edu/employment/</a>). Applicants receive an automated confirmation email upon submission of their application. EOE survey information is collected electronically in the employment website. Academic Administrative Coordinators can upload additional and updated candidate materials.
- 8. The appropriate department chair or area supervisor (hereafter referred to as search committee chair) and Academic Administrative Coordinator are assigned as managers of the application pool and give search parti

- 11. The Search Committee Chair and Academic Administrative Coordinator arrange interview dates and schedule interviews. Scheduling should be done in consultation with the Senior Associate Dean's and the Dean's schedules. Search committee members, Deans and Division Chairs, and outside faculty and staff participating in the search receive access to candidates' materials (generally only cover letter and resume) via the employment website. Student participants generally do not receive candidate materials and cannot be added to the employment website (nor can others not presently employed by the College). All involved in the interview process should be given a copy of the document, "Interviews What's Inappropriate to Ask?"
- 12. In cases where meals are involved during the interview, the dinners should include search committee members but no spouses or partners.
- 13. To the extent necessary in the search, Academic Administrative Coordinators provide the job ad and candidate's schedule to on-campus interviewers; set up appointments with staff, faculty, administrators, and students; and arrange transportation from the airport, lodging, meals, and rooms on campus. Job candidates generally arrange flights themselves and are reimbursed for their expenses, but can work with Academic Administrative Coordinators and/or the Travel Coordinator for flight arrangements if they prefer.

#### **Interview Scheduling**

Depending on the nature of the search and position, the interview will likely include some number of the following elements (\* items represent required elements):

- Meeting with search committee\*
- Meeting with members of department(s) or administrative area with whom they will work\*
- Meeting with similarly situated or related staff in other college departments\*
- Meeting with students
- Meeting with a group of faculty
- Potential public presentation, depending on nature of position
- Meeting with one or more Division Chairs
- Meeting with Senior Associate Dean\*
- Meeting with Dean
- Exit interview with search committee chair\*
- Campus tour
- Meeting with Campus Ambassadors (optional, but perhaps especially useful for candidates from outside our region). The Campus Ambassador Program is designed to give candidates a time during the interview to ask their own questions about the variety of ways faculty and staff live, work, and volunteer in Crawfordsville and surrounding areas. Ambassadors are chosen by the candidate rather than the search committee, and

Campus Ambassadors do not attend the candidate's public presentation or offer written feedback to the committee chair. Campus Ambassadors cannot ask personal questions or otherwise depart from best practices on appropriate and inappropriate questions for interviews, but Ambassadors can volunteer information about their own experiences at the College and in central Indiana. If the Campus Ambassadors program is used, the administrative assistant shares the list of <a href="mailto:current Campus Ambassadors">current Campus Ambassadors</a> with the candidate, who selects two or three Campus Ambassadors that they would like to talk to. The administrative assistant checks with the nominated Campus Ambassadors to determine their availability, and shares contact information among the candidate and Campus Ambassador for them to determine a time to talk, generally after the campus visit and either on Zoom or via phone call.

#### Other considerations:

- a. The length of visit will vary based on position but will generally be  $\frac{1}{2}$  to 1 day.
- b. To the extent possible, department and area colleagues should have individual interviews of 20-30 minutes in length with candidates.
- c. Meetings with students and with outside staff or faculty should not include members of the interviewing department or area. Candidates should be provided with the names of the students, faculty, and staff they are seeing. In the case of faculty and staff, their department/area and rank or title help candidates know whom they are talking to.
- d. Meeting groups should be constructed to include a range of current staff and faculty.
- e. Candidates should be offered the opportunity to schedule additional appointments as desired such as with the library, IT, and/or a visit with a realtor or town tour.
- f. Candidates should be provided breaks during the course of the interview.
- g. To the extent relevant to the search, search committees should review the College's statement on "Spouse and Partner Employment."
- h. When family members apply for positions, the employee may be involved in the search, but not at the decision-making points, such as selecting candidates to interview and recommending candidates for the position.
- 14. Following campus interviews, the search committee chair gathers commentary from faculty, staff, and students—but *not* Campus Ambassadors. The Campus Ambassadors should have no communication with the search committee. After considering outside and inside commentary, the search committee discusses and ranks the candidates.
- 15. The search committee chair discusses candidate rankings and recommendations with Senior Associate Dean and/or Dean.
- 16. Dean or Senior Associate Dean determines the outcome of the search and notifies search committee chair.
- 17. The job offer made to candidate by the Dean's Office.

- 18. After a candidate has verbally accepted the position, the President sends an appointment letter. A signed and returned appointment letter from the candidate confirms the appointment.
- 19. After a signed appointment letter has been returned, search committee chair contacts other interviewed candidates to tell them the outcome of the search. Academic Administrative Coordinator sends e-mails to all other applicants notifying them that the position has been filled. Communication should be sent via the employment website.
- 20. All applicant files will be stored electronically and access will be restricted.

#### HIRE INFORMATION - WHAT TO RETAIN IN JOB SEARCHES

- Copy of the academic administrative coordinator's files related to the search including any merge file that was created pertaining to the search and substantive communication about the search or with applicants. Simple informational communications with applicants do not need to be kept.
- 2. Documentation of the decision-making process.
  - a. Any written notes that may exist on the selection process. Why applicants made lists, why others did not.
  - b. Short list of applicants, interview list(s).
  - c. Copies of the interview schedules.
  - d. Any written notes on the final selection.

The Academic Administrative Coordinators have agreed to electronically bundle all of this information for storage for a period of three years, as is required, after which time it should be deleted. Information will be kept in confidence, to be used only in the case of a complaint.

# Interviews-What is Inappropriate to Ask

Federal and state laws require that questions on the job application, during the interview, and during the testing process be job-related. Do not ask about a matter unless it is relevant to job qualifications. For example, do not ask about race, color, sex (including pregnancy, sexual orientation, or gender identity), religion, military status (current, former, or prospective), marital status, disability and genetic information (including family medical history), country of origin, or age because the law prohibits considering these factors in making hiring decisions. There are also other matters that are inappropriate to talk about during the hiring process, even though they are not illegal, as noted in further detail below.

#### 1. Subject: Relatives/Marital Status

**Inappropriate:** What is your marital status? What is the name of your relative/spouse/children? With whom do you reside? Do you live with your parents? How old are your children? Do you plan to have a family? How many kids do you have? What are your child care arrangements?

**Appropriate:** What are the names of relatives already employed by the company or a competitor? Are you willing to relocate if necessary? Are you willing to travel as needed by the job? (Must be asked of all applicants.) Are you willing and able to work overtime as necessary? (Must be asked of all applicants.)

# 2. Subject: Residence

**Inappropriate:** With whom do you reside? Do you rent or own?

**Appropriate:** Inquiries about address to the extent needed to facilitate contacting the applicant are O.K. Will you have problems getting to work by 9 a.m.?

#### 3. Subject: Pregnancy

**Inappropriate:** Questions relating to pregnancy and medical history concerning pregnancy are inappropriate. Do you plan on having more children?

**Appropriate:** Inquiries to duration of stay on a job or anticipated absences which are made to males and females alike are appropriate. Do you foresee any long-term absences in the future?

#### 4. Subject: Physical Health

**Inappropriate:** Overly general questions which would tend to divulge handicaps or health conditions that do not relate reasonably to fitness to perform the job are inappropriate. Do you have any handicaps or disabilities? What caused your handicap? What is the prognosis of your handicap? Have you ever had any serious illness? Please

complete the following medical history. Have you had any recent or past illnesses or operations? How much time did you take off in your prior job (or school)?

What was the date of your last physical exam? How's your family's health? Have you ever been treated for a mental condition? Are you taking prescribed-drugs? Have you ever been treated for drug or alcohol addiction? Have you ever filed a worker's compensation claim?

**Appropriate:** Can you lift 40 lbs (if the position requires it)? Have you ever been disciplined for unsatisfactory attendance or absenteeism? How many unexcused absences did you have during your last three years in your previous job (or school)? The questions have to relate to the job. Are you able to perform the essential functions of this job with or without reasonable accommodations?

#### 5. Subject: Family

**Inappropriate:** Questions concerning spouse, or spouse's employment, salary, child care, arrangements, or dependents are inappropriate. How will your husband feel about the amount of time you will be traveling if you get this job? What kind of child care arrangements have you made?

**Appropriate:** You may ask whether an applicant can meet specified work schedules or has activities or commitments that may prevent him or her from meeting attendance requirements. Is there any reason why you can't be on the job at 7:30 am? This job requires that you work overtime on occasion. Would you be able and willing to work overtime as necessary?

#### 6. Subject: Name

**Inappropriate:** Any inquiries about an individual's name which would divulge marital status, lineage, ancestry, national origin or descent are inappropriate. If your name has been legally changed, what was your former name?

**Appropriate:** Whether an applicant has worked for the company or a competitor under any other name and if so, what name was used is appropriate. Name under which applicant is known to references if different from present name. By what name do your references know you? Have you ever been convicted of a crime under another name?

# 7. Subject: Sex

**Inappropriate:** Any inquiry related to sex is inappropriate. Do you wish to be addressed as Mr., Mrs., Miss, or Ms.? Do you have the capacity to reproduce? What are your plans to have children in the future?

Appropriate: None

# 8. Subject: Photographs

**Inappropriate:** Requests that an applicant submit a photo at any time before hiring is inappropriate.

**Appropriate:** Photos may be requested after hiring for identification purposes.

# 9. Subject: Age

**Inappropriate:** Any question that tends to identify applicants age 40 or older are inappropriate. How old are you? When did you graduate from college? What is your birthday? Requests for birth certificate or record.

**Appropriate:** Are you 18 years of age? If hired, can you furnish proof of age?

#### 10. Subject: Education

**Inappropriate:** Any question asking specifically the nationality, racial or religious affiliation of a school is inappropriate.

**Appropriate:** All questions related to academic, vocational or professional education of an applicant, including the names of the schools attended, degrees/diplomas received, and whether the applicant graduated are appropriate. What is the highest grade you have completed?

#### 11. Subject: Citizenship

**Inappropriate:** Asking whether an applicant is a citizen or requiring a birth certificate, naturalization or baptismal certificate are inappropriate. Any inquiry into citizenship that would tend to divulge an applicant's lineage, descent, etc. are inappropriate. Are you a citizen of the U.S.? Are your parents or spouse citizens of the U.S.? On what dates did you, your parents or your spouse acquire U.S. Citizenship? Are you, your parents or your spouse naturalized or native-born U.S. citizens? What is your native tongue?

**Appropriate:** It is appropriate to ask an applicant to provide proof of citizenship (passport), visa, and alien registration number after hiring. Are you able to provide proof of employment eligibility upon hire? Are you authorized to work in the United States? Will you now or in the future require sponsorship for employment visa status (e.g., H-1B visa status)? (see additional, appropriate follow-up questions in faculty hiring guidelines, 4e) What languages do you read, speak or write fluently? (Ability must be relevant to performance of the job)

#### 12. Subject: National Origin/Ancestry

**Inappropriate:** What is your nationality? How did you acquire the ability to speak, read or write a foreign language? How did you acquire familiarity with a foreign country? What language is spoken in your home? What is your mother tongue?

**Appropriate:** What languages do you speak, read or write fluently? This is only appropriate when the inquiry is based on a job requirement.

#### 13. Subject: Race or Color

**Inappropriate:** Any question that directly or indirectly relates to a race or color is inappropriate. What is your race? What is your complexion?

Appropriate: None

#### 14. Subject: Religion

**Inappropriate:** Any question that directly or indirectly relates to a religion is inappropriate. What religious holidays do you observe? What is your religious affiliation?

**Appropriate:** Can you work on Saturdays? (Only if relevant to the job, and even if relevant to the job, be aware of the obligation to engage in the interactive process to pursue potential reasonable accommodations if the inability to work on a particular day is related to a sincerely held religious belief.)

#### 15. Subject: Organizations

**Inappropriate:** To what organizations, clubs, societies and lodges do you belong?

**Appropriate:** To what professional organizations do you belong which you consider relevant to your ability to perform this work? (Exclude those names that indicate the race, religious creed, color, national origin or ancestry of its members. These inquiries must only relate to the applicant's professional qualifications.)

#### 16. Subject: Military

Inappropriate: An employer cannot discriminate on the basis of an applicant's military status (current, former, or prospective). So, do not ask: You're not still in the National Guard (Air Force, Reserves, etc.), are you? Are you planning to enlist? It also is inappropriate to ask the type or condition of military discharge or an applicant's experience in other than U.S. armed forces. A request for discharge papers is inappropriate. Were you honorably discharged? In what branch of the Armed Forces did you serve?

**Appropriate:** Inquiries concerning education, training or work experience in the armed forces of the United States are appropriate. What type of training or education did you receive in the military? How, if at all, did your experience serving as an officer in the Navy (Air Force, Reserves, etc.) influence your communication (or management or leadership) style?

#### 17. Subject: Height & Weight

**Inappropriate:** Any inquiries not based on actual job requirements are inappropriate. How tall are you? How much do you weigh? What color are your eyes/hair?

**Appropriate:** Inquiries about the ability to perform a certain job are appropriate. Being of a certain weight or height will not be considered a job requirement unless the employer can show that no employee with the ineligible height and weight could do the work. Are you able to lift a 50-pound weight which is an essential function required by the job?

#### 18. Subject: Arrests & Convictions

**Inappropriate:** All inquiries relating to arrests are inappropriate. Have you ever been arrested? (Arrests are not the same as convictions. An innocent person can be arrested.) Broad inquiries into guilty pleas or convictions are inappropriate because Indiana law prohibits an employer from asking about criminal records that have been sealed or restricted.

**Appropriate:** Appropriate inquiries about convictions are: Have you ever pled guilty to or been convicted of any crime that has not been annulled, expunged, sealed, pardoned, erased, restricted, eradicated, or impounded or is otherwise protected from disclosure by law? If so, when, where and what was the disposition of the case? Have you ever pled guilty or been convicted under criminal law within the past five years (excluding minor traffic violations and convictions that have been sealed or restricted)? It is permissible to inquire about convictions for acts of dishonesty or breach of trust because that information may relate to fitness to perform the job.

Be aware, though, that the EEOC takes the position that using criminal history as a basis for hiring decisions may disproportionately impact minorities. So, before you decide not to hire an applicant based on criminal history, consider whether the applicant's criminal record really is inconsistent with the position sought, evaluating (1) the number and circumstances of each conviction, (2) the length of time between the conviction and the employment decision, (3) the individual's employment history, and (4) the individual's efforts at rehabilitation.

#### 19. Past Drug Results and Smoking and Alcohol Use

**Inappropriate:** Because it may qualify as a disability, an employer may not discriminate against a person based on a history of drug or alcohol addiction. Have you ever received treatment for drug or alcohol addiction? How much alcohol do you consume on average each week? Additionally, because Indiana prohibits discrimination against individuals who use tobacco outside of work, do not ask question about smoking (or other tobacco use). Are you a smoker?

**Appropriate:** Have you ever been disciplined or discharged for a violation of alcohol or drug policy? Have you ever reported to work under the influence of alcohol or a controlled substance for which you did not have a valid prescription? Are you able to observe our policy prohibiting smoking on campus and in campus vehicles?

#### 20. Statements of Guaranteed or Permanent Employment

**Inappropriate:** If the discussion turns to the degree of job security the position provides, avoid statements that could be construed as a guarantee of a specified term of employment or a guarantee that employment will be terminated only for "just cause." We're looking for at least a 5-year commitment from the person hired. We're looking for someone for the long-term.

**Appropriate:** Our goal is to find and retain good people, and I believe that our low employee turnover shows that we have been successful in doing that. However, of course, we cannot guarantee continued employment.

If you have additional questions about interviewing practices, please contact the Director of Human Resources, or the Office of the Dean of the College.

(Reviewed by counsel, August 2023)

# **Departmental Reviews**

Departmental reviews and reviews of interdisciplinary minors should occur every 5-7 years. A review is an opportunity for a department to think critically about itself and what it does through a process of self-reflection and evaluation. The review should identify key concerns or issues where opportunity for improvement or development exists, and set a trajectory for the department for the next 5-7 years. Thus, the departmental review is an occasion to look back at department activities in an evaluative fashion and, even more so, to help the department move forward in its engagement with students and service to the College. A set of focused questions regarding teaching and learning should guide the review process.

The review process should include the following steps.

- 1. <u>Planning Meeting</u>: In the spring semester of the academic year *prior* to the scheduled review, the department chair (and other department members as warranted) should meet with the Senior Associate Dean of the College to discuss the review process, consider preliminary issues of interest to the department, and begin to identify institutional data that can assist the department in their review. The department chair also should contact the Director of Institutional Research to obtain a copy of the standard Department Dashboard and discuss the different types and sources of data that can be available for the self-study.
- 2. <u>Proposal</u>: After determining the concerns and opportunities for improvement and development they wish to address, by April 1 of the academic year prior to the scheduled review the department should develop a short proposal with draft focus questions for the review, how it will be conducted (i.e. internal self-study only, bringing outside consultants in, or visiting similar programs), a timeline, and a tentative budget. This written proposal is submitted to the Senior Associate Dean of the College for approval.
- 3. <u>Self-Study</u>: The department will conduct a self-reflective and evaluative self-study designed to critically examine the department for the benefit of the department, the College, and any outside consultants in investigating the focus questions and department plans for future improvements and revisions. (This will be a written document and submitted to the Dean's Office at least three weeks prior to consultants arriving on campus. For departments visiting other programs, the self-study may be submitted after site visits, depending on the nature of the review.) See following guidelines for more details about the elements of the self-study.
- 4. Incorporation of materials from outside sources.
  - a. <u>Site visitations</u>: Site visits will generally consist of visits to two-to-three similar or aspirational programs in the GLCA or that are in the geographic region. Departments might elect to arrange zoom interviews and discussions with a broader range of similar or aspirational programs. The department will compile notes from any site

visits. These will be incorporated into the department self-study or submitted separately as a summary to the Dean's Office to become part of the department's assessment file.

- b. Consultancy Report: Department reviews utilizing external consultants will generally invite one or two consultants from similar or aspirational schools Depending on the needs of the review, consultants might visit in person or conduct their work via Zoom. Consultants should be proposed and reviewed by the Senior Associate Dean prior to extending any invitation to participate. In the case of a review that brings consultants to campus, please consult with Senior Administrative Assistant to the Dean of the College to find an optimal time for the visit and to schedule meetings with the Senior Associate Dean and Dean. The standard honorarium for an on-site consultation is \$750 plus travel expenses. The consultant(s) will write up the findings of their review and submit that report to the Dean's Office prior to receiving the honorarium.
- c. <u>Participation in a developmental workshop or review process from a professional society:</u> Some professional societies offer developmental workshops or accreditation, certification or approval processes that are appropriate for small liberal arts colleges such as Wabash. These often include a review of curriculum, resources, and staffing that parallel the self-study process and provide an outside assessment of the department's offerings. Please discuss the expectations of the society, potential fees and other requirements with the Senior Associate Dean before beginning this process.
- 5. <u>Action Plan</u>: At the conclusion of the self-study, the department will submit a written plan for moving forward based on the findings of the internal self-study, consultancy, or site visits. The plan might reflect on questions or possibilities such as: What changes is the department contemplating in light of the review? What questions will be central to department planning over the next several years? How will changes improve student learning and student experience? How will changes be evaluated? What was learned during the course of the department review?
- 6. <u>Post-Review Debriefing</u>: In the semester following the completion of the review, the Department Chair (and other members of the department as warranted) will meet with the Senior Associate Dean and/or Dean of the College to discuss the review and action plan. In some cases, this conversation may, instead, take place in the department's bi-annual Dean Assessment meeting.

During the implementation of the action plan, the department is encouraged to have conversations with the Dean's Office about their progress, how the review is being used in the work of the department, and new directions and changes since the department review. Such discussions might occur in Dean assessment meetings or in other venues at the initiative of the department or Dean's Office. The department may also be requested to write a follow-up

report on action items initiated subsequent to the completion of the review and submission of the action plan.

#### The Department Self-Study

The following outline identifies the sorts of issues and data that should be pertinent to the review in pursuit of the guiding questions and reflection on the broad work of the department, but it does not represent a specific format that must be followed. Since no two departments will have exactly the same concerns, each department review will be somewhat different, but all departments should include attention to items I, II, III, and V.

# I. Identification of Review Focus Questions (Required element)

The self-study should begin with a description of crucial questions and issues the department has identified as the focus of the review.

# II. Characteristics of the department (Required element)

- A. Department as part of the institution (divisional alignment, majors, minors and interdisciplinary programs offered, distribution offerings, immersion courses, co- and extracurricular activities, etc.)
- B. Current Faculty
  - 1. Basic description of areas, length of time at college
  - 2. Transitions and hiring since the last review and anticipated in the future
  - 3. Personnel questions (optional)
- C. Students (much of this information can be obtained from the Department Dashboard.)
  - 1. Student profile (major, minor, non-majors)
  - 2. Learning needs (major, minor, non-major)
  - 3. Student numbers (major, minor, distribution, all-college)

#### III. Review (and revision) of departmental curriculum and student learning goals (Required)

- A. Review and discussion of department student learning goals, including how comprehensive exams evaluate these learning goals, and considered revision of either the goals or comprehensive exams
- B. Review and discussion of course level Student Learning Outcomes, including relationship to departmental student learning goals and outcomes across departmental offerings
- Review and discussion of how distribution courses demonstrate distribution learning outcomes

Possible resources include Academic Bulletin text and course syllabi, either in the body of the self-study or as appendices. *The department review self-study should document these discussions and, at a minimum, include an updated set of department student learning goals.* Depending on the nature of discussions, it may be helpful to offer revised course SLO documents.

#### IV. Practice

- A. Pedagogy (e.g. teaching and learning styles; consideration of diversity, equity, and inclusion; contribution to foreign language development, student writing, oral communication, and/or quantitative skills)
- B. Resources and infrastructure use and needs (including technology, library, etc.)
- C. Faculty development use and needs
- D. Central questions related to pedagogy, resources and infrastructure, and faculty development

# V. Assessment: How We Assess Student Learning, Pedagogy, and Programs (some consideration of assessment data is a required review element)

- A. Institutional Tools (e.g. National Survey of Student Engagement (NSSE), College Senior Survey (CSS) etc.)
- B. Departmental data and assessment tools
- C. Ideas for future Program Assessment

#### VI. Questions and Issues: Moving Forward

- A. Consideration of review focus questions
- B. Identification of strategies being contemplated

#### VII. Appendices (Optional, included as deemed useful by department)

- A. Curriculum Vitae
- B. Course Syllabi
- C. Additional enrollment information or charts
- D. Curriculum Comparisons to other institutions and/or based on site visits
- E. Other

# **Department and Program Review Schedule**

	2023-24	2024-25	2025-26	2026-27	2027-28	
Deans Level						
Assessment				HLC Mid-term Review		
Department Reviews						
Division 1	Math/CS	Biology	Chemistry & Biochem	Physics		
Division 2	Art		Theater	Classics	Philosophy	
Division 2			Music			
Division 3		Psychology & Neurosci	History	Economics/Fin Ec	Education studies	
Division 3		Political Science				
Program reviews	First year courses	Film & Digital media	Black Studies	Environmental Studies		
Program reviews	Global Health	Gender studies		PPE		
Support		IT	Library			

#### **BUDGETING RESPONSIBILITIES AND PROCESSES**

An overview of Department Chair (and faculty member) budget responsibilities is provided in the Faculty Handbook, in the section devoted to Policies and Procedures Pertaining to Faculty (see Section 6.4). Additional information, including instructions regarding p-card use, are provided in the Wabash College Employment Guide, on the JP Morgan/Chase SmartData website (<a href="http://smartdata.mastercard.com">http://smartdata.mastercard.com</a>), and by the Purchasing and Travel Process Tools provided by the Business Office. The following provides a summary of common responsibilities that may be helpful to Department Chairs.

#### **Budget Tracking (throughout the year)**

Expenses for each of the institutional budgets that you manage (departments, programs, committees, endowed funds, grants etc.) can be monitored in Self-Service.

- To access your budget(s) login to Self-Service, select "Financial Management," select "Budget to Actuals."
- Under the "My Cost Centers" tab, filter the selection criteria by selecting Department = five-digit account number associated with your department, program, committee, endowed fund or grant. You may also add a Fund, Object, or Course to filter your search to a specific line in your budget; however, for most searches simply typing the Department number will suffice.
- Depending on the source of the funds, you may find out the amount available by contacting the Business Office or Advancement.
- If you do not see budget lines for which you believe you should have oversight, contact the Director of Accounting Services. Likewise, if you believe there are charges in error or if you are uncertain of charges appearing in your lines, contact the Director of Accounting Services.

#### **Purchasing Card (P-Card) Use**

Please keep these pointers in mind when making p-card purchases and using College or department funds more generally:

- College funds may not be used to purchase alcohol for students.
- Computer or electronic equipment must be purchased through IT. IT has relationships and processes that often produce more economical purchasing, even when you think you've located a good buy.
- Follow Business Office guidelines for Indiana sales tax exemption and preferred providers.
- Many expenses related to pre-approved professional travel can be put on a p-card (registration, air travel, lodging) but, when travelling, food should generally not be put on a p-card because reimbursement is provided on a per diem basis without receipts.
- Personal or individual memberships to professional associations should not be supported by departmental budgets.
- In cases other than business travel covered by a per diem, IRS regulations require that an itemized receipt for meals be submitted in order to be reimbursed, i.e. the credit card signature receipt is not sufficient if it lists only the cost/tip/total. Please be sure to submit the itemized receipt and the tip/total when turning in receipts for reimbursement (this includes p-card reconciliation). The IRS also requires the name(s) of all people who shared

the meal when a receipt is submitted for a group meal. For example, if your department hosts a visiting speaker for a meal and one member of the department pays the bill and submits the receipt, then that individual must write the names of the other diners on the back of the receipt.

#### **Purchasing Card Reconciliation (Monthly)**

A full description of p-card use is located in the Employment Guide and <u>Purchasing Card Manual</u>. As Department Chair, you should review and sign monthly expense reports containing p-card expenses made by department members. If a receipt is missing, the <u>missing receipt form</u> should be filled out. Any p-card reconciliation reports for purchases you make should be reviewed and signed by your Division Chair or the Associate Dean of the College.

Those with a Wabash College Mastercard through Chase bank have the responsibility to save receipts for purchases made with the card and to reconcile those expenses monthly. In some departments, this is done by the Academic Administrative Coordinators (AACs); if so, submit your receipts to your AAC in a timely manner so they can complete this process.

- The reconciliation site is https://sdg2.mastercard.com, where you will need to establish your own log-in ID and password.
- Once logged in, there are downloadable step-by-step p-card reconciliation instructions on the Chase/J.P. Morgan home page (far right side of screen).

Monthly p-card reconciliation reports are to be submitted in every month there are charges on the card. The monthly reporting cycle runs from the 11<sup>th</sup> of one month to the 10<sup>th</sup> of the following month. Online reconciliations should be completed by the 18<sup>th</sup> of each month, and signed reports submitted to the Business Office by the last day of that same month. The Business Office will send an e-mail reminder when p-card statements are coming due, usually around the 10<sup>th</sup> of each month.

#### **Check Requests**

For reimbursements or for purchases made without a p-card or purchase order, you should fill out a <u>Payment Request form</u>. That form, along with receipts and an explanation of expenses, should be submitted to the Business Office. As with p-card reconciliation reports, you should sign as the authorizing person for these expenses made by members of your department. For expenses you are submitting, you should obtain the signature of your Division Chair or the Associate Dean of the College.

If the payment request involves a third party payee, for instance a visiting speaker who is receiving an honorarium, you need the payee to fill out a <u>W-9 form</u> and <u>Vendor ACH/Direct Deposit Authorization Form</u>.

#### **Other Accounting Forms**

All of the forms linked above as well as other accounting forms can be found by clicking on Business Office link in the Departments & Offices area of the MyBash page, followed by the FORMS link in the left bar.

#### STUDENT AND DEPARTMENT ACADEMIC INFORMATION

#### **Reviewing Majors and Minors in Academic Planning**

In Academic Planning, there are different roles given to advisors. The most common advising roles are *academic; major; and minor*. The pre-health advisor has been given a *pre-health* advising role by students who have granted this permission. All of these levels of advisor status allow view access to a student's electronic file in Academic Planning. Beyond that, the levels of responsibility and system permission differ between roles.

- 1. The **academic advisor** is the **primary** advisor. Each student only has **one** academic advisor at any given time. Occasionally, another person may be given academic advisor access on a temporary, time-limited basis in special circumstances, e.g. summer advising at Wabash 101. The academic advisor is the person with the final responsibility for:
  - Meeting with and reviewing schedule plans with the student
  - Receiving and approving proposed schedules from the student via Academic Planning
  - Approving courses via Academic Planning before a student can add them to his schedule
  - Both approving individual course drops/withdrawals and performing the drop/withdrawal action within Academic Planning
  - Signing petitions and providing other permissions that are generally described as requiring "advisor approval"
- 2. The **major advisor** is the department or program chair of the student's declared major(s). A student will have a major advisor assigned for each major he has declared. Faculty members who are not department or program chairs will not have students assigned to them as major advisors.
- 3. The **minor advisor** is the department or program chair of a student's declared minor(s). A student will have a minor advisor assigned for each minor he has declared. Faculty members who are not department or program chairs will not have students assigned to them as minor advisors.
- 4. The **pre-health advisor** has been given permission by the student to have advisor access, based on the need to monitor academic progress as the student prepares to apply to graduate school in one of the health professions. Only students who have signed a document giving this permission will have a pre-health advisor.

View access to a student's record in Academic Planning is provided to the major, minor, and pre-health advisors so that they may fulfill the responsibility of affirming the progress of their major and minor students as they approach graduation. As such, the view access in Academic Planning for these advisors is similar to the handwritten recap cards that major and minor

advisors used to receive for this purpose. Typically, this level of review has occurred over winter break prior to the spring semester of the student's senior year; however, it can also be useful to review progress in the summer prior to a student's senior year to catch any errors related to completion of annually offered courses that are in the fall. This access and review process should also be helpful to chairs to help plan and anticipate the courses and/or number of sections of courses that need to be scheduled based on the needs of students in their majors or minors.

Because there are different roles for advisors, most students will have multiple people assigned to them with an advisor role. Many students will have three people assigned; a student whose academic advisor is also his major or minor department chair may have fewer; a student with multiple majors and/or minors may have more. However, it is always the academic advisor who functions as the primary advisor.

On the Advising Overview screen in Academic Planning, an advisor can search for his or her advisees and filter the search by advising role. Thus, an advisor who only wants to search for his or her academic advisees can select "Academic" from the *Filter by Advisor Role* drop-down menu, click Go, and see a list of only academic advisees. Follow the same process but select "Major" or Minor" to see only those advisees.

#### <u>Informer 5 Reports of Departmental Data</u>

All department chairs should have access to a set of Informer 5 reports that pull department majors and course enrollments for both the current term and historical terms. The reports can be used to track the number of department majors as well as produce information on a number of student metrics.

It is important to keep in mind that these reports contain FERPA-protected information, so department chairs should only allow others to access their contents within the "legitimate educational interests" clause. Access should be strictly limited to those who have been trained in handling FERPA-protected information and for whom handling sensitive information is the scope of their professional duties.

Below is a link to a video tutorial for using the reports. Any questions about the use of these reports can be directed to the Institutional Research Director.

**Department Chair Informer Report Tutorial** 

# Department/Program codes for course listings

Accounting	ACC	Gender Studies	GEN
Art	ART	German	GER
Asian Studies	ASI	Global Health	GHL
Biology	BIO	Greek	GRK
Biochemistry	ВСН	Hispanic Studies	HSP
Black Studies	BLS	History	HIS
Business	BUS	Humanities	HUM
Chemistry	CHE	Latin	LAT
Chinese	CHI	Mathematics	MAT
Classics	CLA	Modern Languages	MLL
Computer Science	CSC	Music	MUS
Division 1	DV1	Neuroscience	NSC
Division 3	DV3	Philosophy	PHI
Economics	ECO	Physics	PHY
Education Studies	EDU	Philosophy, Politics,	PPE
		and Economics	
Enduring Questions	FRC	Political Science	PSC
English	ENG	Psychology	PSY
Film and Digital	FDM	Religion	REL
Media			
Financial Economics	FEC	Rhetoric	RHE
French	FRE	Spanish	SPA
Freshman Tutorial	FRT	Theater	THE